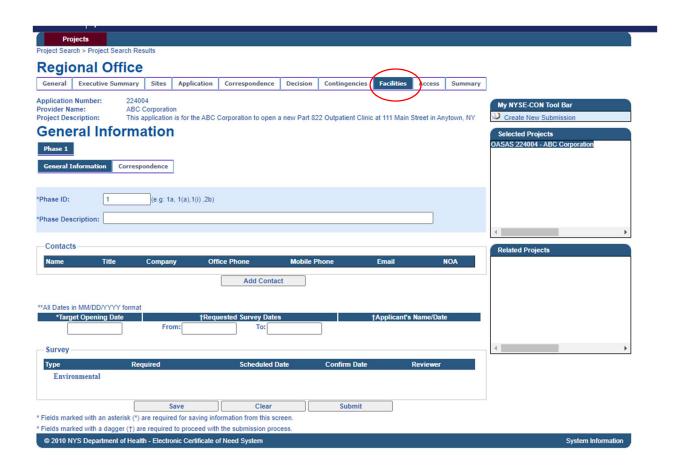
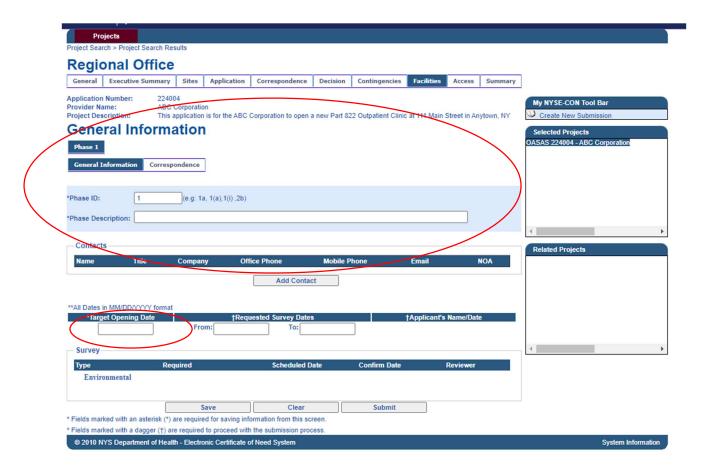
The Facilities tab in NYSE-CON is used to schedule a physical plant inspection, track the necessary paperwork required for a physical plant inspection, record the inspection results and allow for a Corrective Action Plan to be initiated and resolved by the applicant. The Facilities tab will not be visible until the Bureau of Certification (BOC) Program Manager assigns the Upstate or Downstate Facility Evaluation and Inspection Unit to the Application. When you first click on the Facilities tab the General Information page will display. The Applicant should initiate contact with the Facilities Evaluation and Inspection Unit at least 60 days prior to the facility's target opening date.



Only an Applicant can initiate the request for a physical plant review request to the Facilities Evaluation and Inspection Unit. In order to request a physical plant review, the Applicant must do the following:

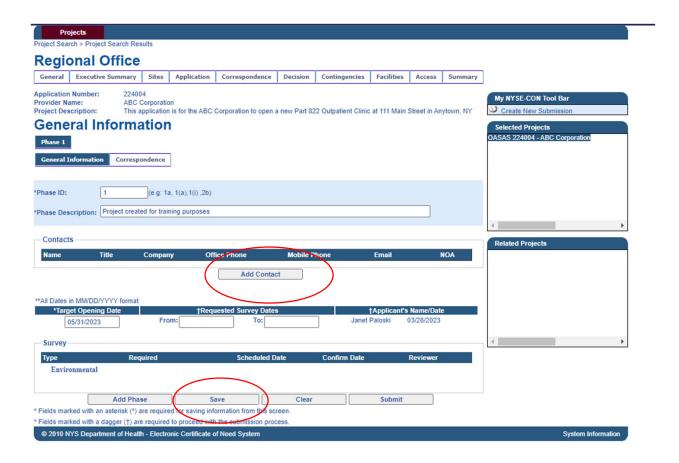
- Create a phase
- Provide contact details for the project
- Provide a Date Range for the Physical Plant review



Edit the **Phase ID**, if desired. The system will sequentially number the Phases if the applicant chooses not to change the ID.

Enter the **Phase Description**.

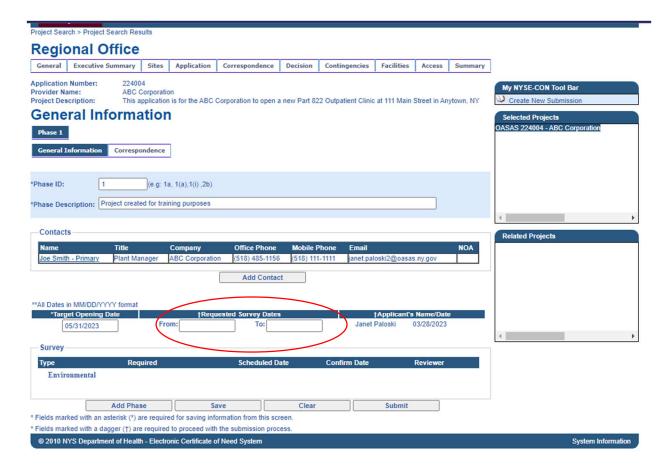
Enter the Target Opening Date.



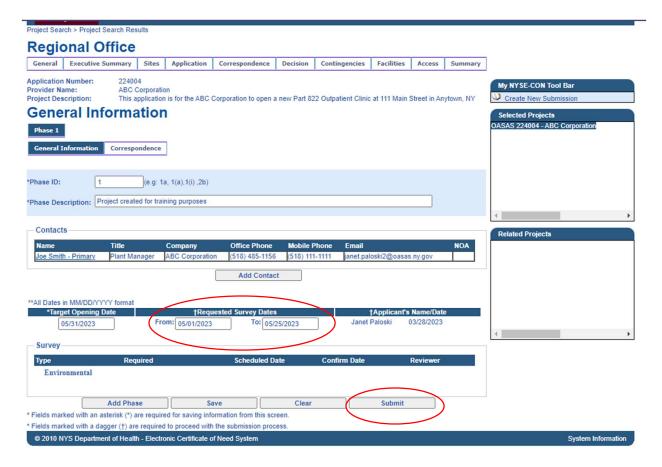
Once you have entered the information, click on the **Save.** Additionally, you need to Add Contact Information for scheduling the Physical Plant Inspection. Click on the **Add Contact** button.



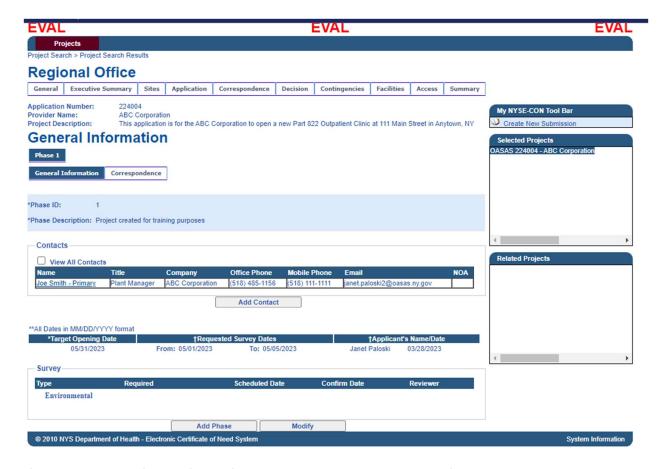
For **Contact Type** – Select Primary – When the first Contact is being added, the only contact designation option available is "Primary", "Additional" will be available when contacts are added. Fill in the balance of the required contact information. Note: Notice of Appearance is not used for OASAS Applications. Once complete, select the **Save** button.



You will be returned to the main Facilities screen. When you are ready to request a physical plant inspection, come back to this screen and enter a date range in the Requested Survey Dates.

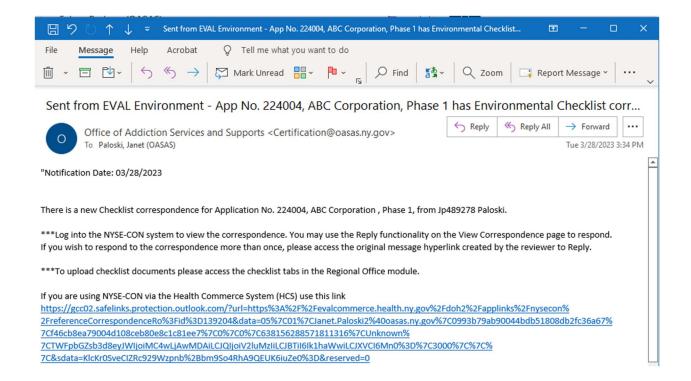


Once you have entered the From and To dates, click **Submit**. The OASAS Facility Evaluation and Inspection Unit will be notified that you have entered information and have requested a range of dates for your Physical Plant Inspection.

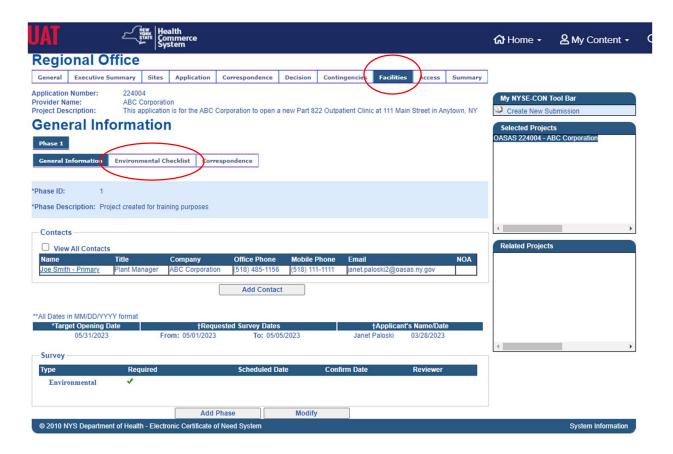


If you need to modify any of the information on this tab, click the **Modify** button.

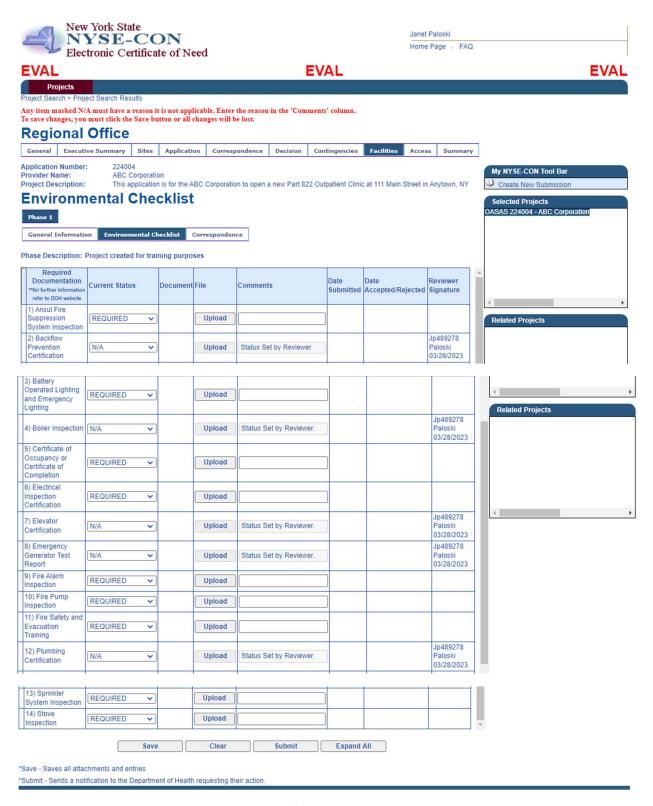
At this point you are done, until you receive notification that you have correspondence from OASAS. The message will look like this one below.



Once you are notified by OASAS, begin with the instructions on Page 9.

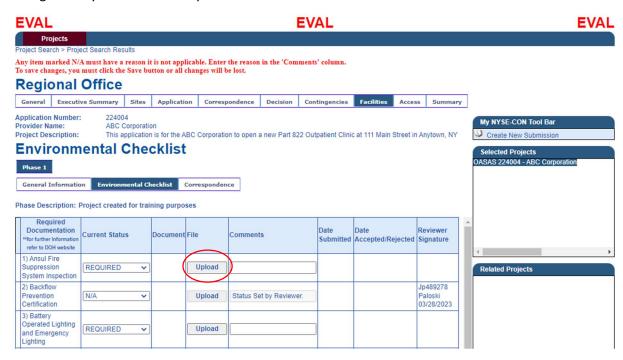


Login to NYSE-CON and bring up your application. Select the **Facilities tab** and then Select the **Environmental Checklist**.



Please note the instructions in red at the top of the screen. The Applicant has they ability to change a Required Document to N/A if it is not applicable but must enter a reason in the Comments field next to the document. For each of the Required Documents, you need to upload a file containing the

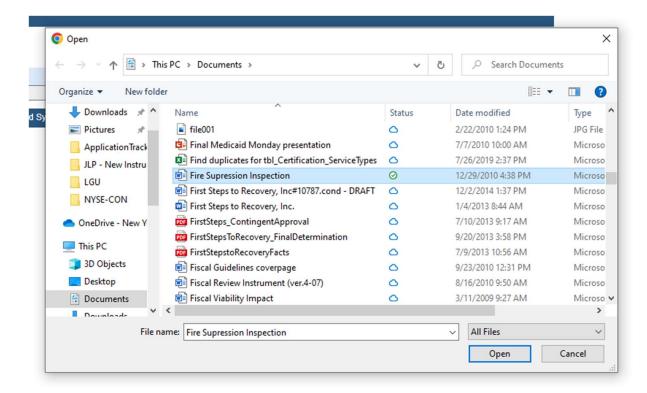
documentation. Make sure you click the **Save** button before leaving this tab or you will lose any changes that you have currently made and not Saved.



To upload a required document, click on the **Upload** button next to the required document.



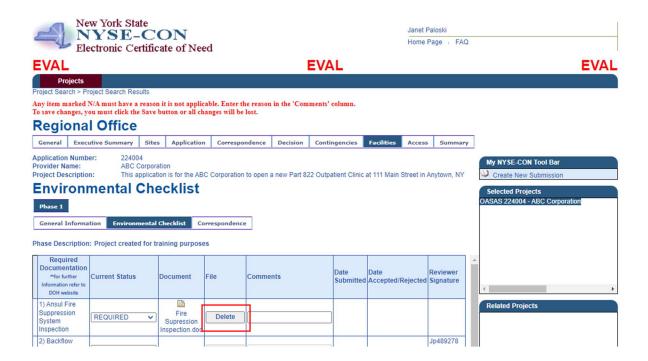
Click on the **Choose File** button to navigate on your computer to where the Inspection document exists.



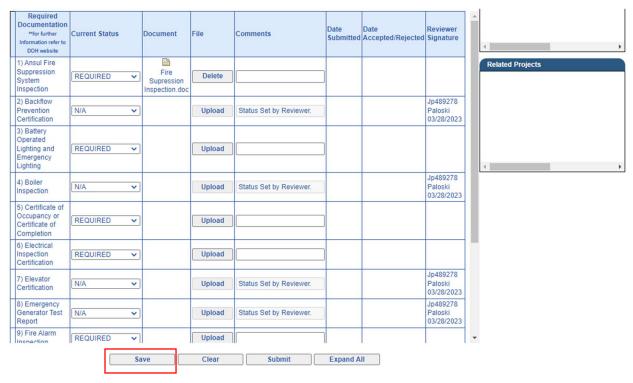
Choose the File to be uploaded and Click Open.



The file you selected will show next to the Choose File button. To add the attachment, click on the **Add Attachment** button.



The added **attachment** is indicated by the document icon on the table. The upload button changes to a **Delete** button to allow for corrections. You can add optional comments regarding the uploaded document in the Comments column.



Click **Save** to save all work when complete. Checklist items may be saved as entered or saved when all have been entered. Note: If multiple documents must be added for an <u>individual checklist item</u>, each document must be <u>saved after every upload</u>.

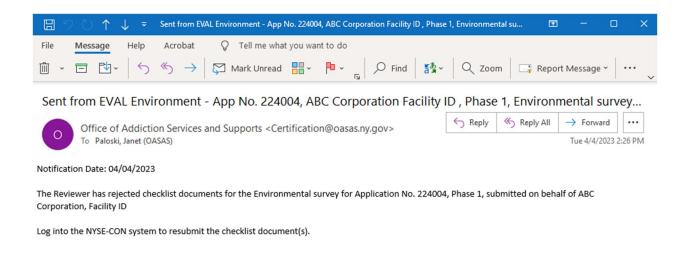


Once all required documents have been **Uploaded** and **Saved**, Click the **Submit** button which will notify OASAS that the required documents have all been uploaded.

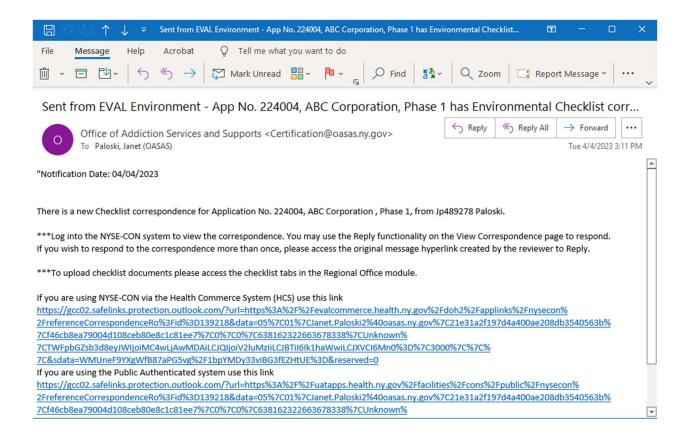


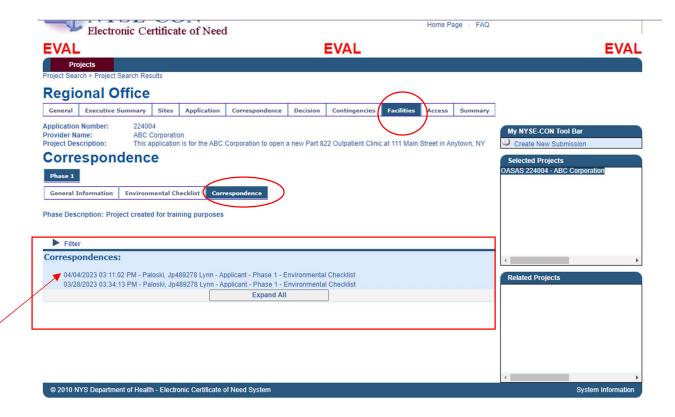
The submission confirmation screen displays. Click Confirm.

Once the OASAS Facility Evaluation and Inspection Unit Inspector has reviewed the Environmental Checklist required documentation. They will mark each of the documents as Accepted or Rejected. The Applicant will be notified if any of the documents have been Rejected. An email like the example below will be sent.

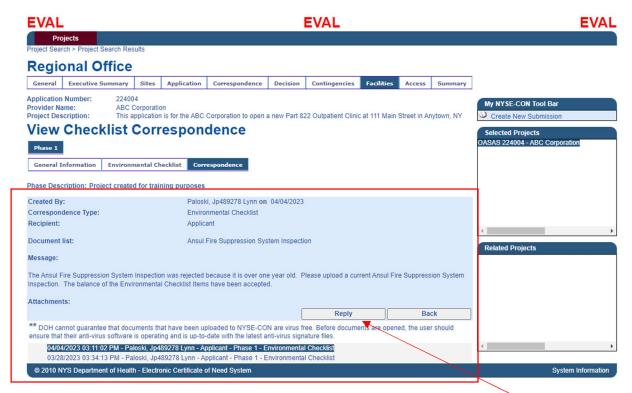


The Inspector will also send email correspondence stating what is wrong with the rejected Environmental Checklist documentation(s). The Applicant will receive an email like the example listed below notifying them that they have new correspondence in the Application and that they should login to the Application to read the correspondence.

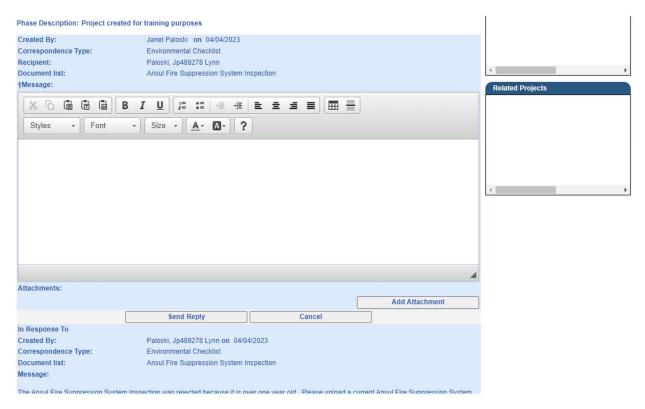




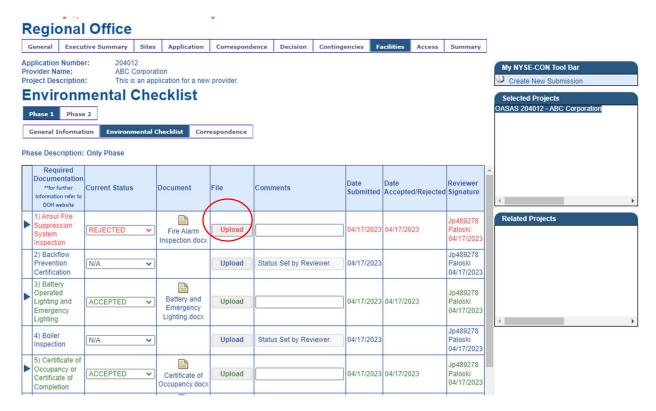
To view the correspondence, log into the Application, move to the **Facilities** tab and select the **Correspondence** tab. Any correspondence regarding the Environmental Checklist will be shown. To open correspondence click on the correspondence.



The correspondence will open, and you will see the message from the Facilities Evaluation and Inspection Unit. If you want to respond to the message, you can do so by clicking the **Reply** button.



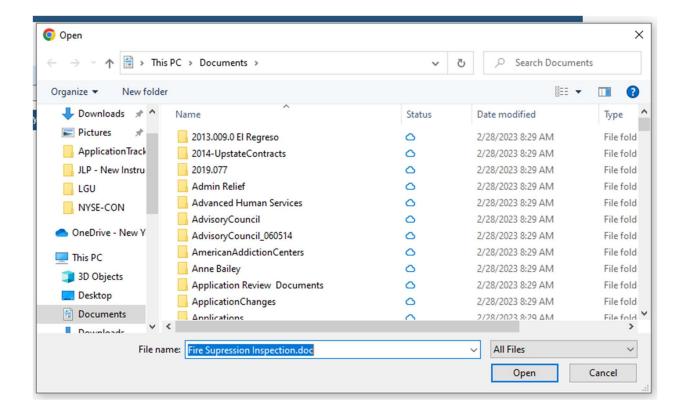
Type your message and click the **Send Reply** button. Do not send any of the documents that were rejected as an attachment to this email. You must upload any new documents through the Environmental Checklist section of the **Facilities** tab.



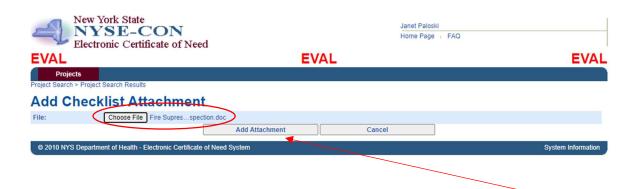
Return to the Environmental Checklist section by choosing the **Facilities** tab and then clicking on the **Environmental Checklist**. Accepted submissions will appear in green and Rejected submissions will appear in Red. To upload a new document to replace the Rejected document, click on upload.



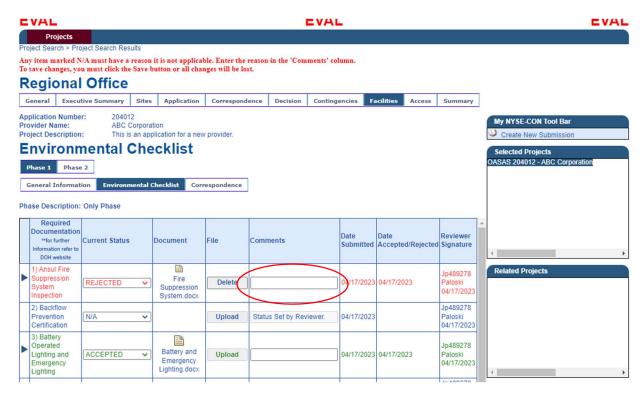
Click on the **Choose File** button to navigate on your computer to where the Inspection document exists.



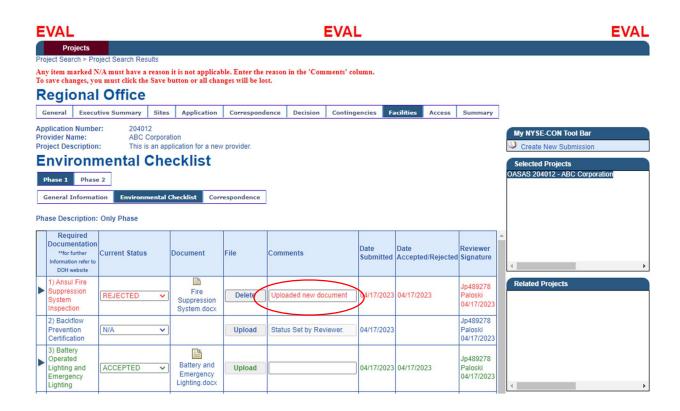
Choose the File to be uploaded and Click Open.



The file you selected will show next to the Choose File button. To add the attachment, click on the Add Attachment button.



Enter a Comment.



•	11) Fire Safety and Evacuation Training	ACCEPTED	~	Fire Safety and Evacuation Training.docx	Upload		04/17/2023	04/17/2023	Jp489278 Paloski 04/17/2023
	12) Plumbing Certification	N/A	~		Upload	Status Set by Reviewer.	04/17/2023		Jp489278 Paloski 04/17/2023
•	13) Sprinkler System Inspection	ACCEPTED	~	Sprinkler System Inspection.docx	Upload		04/17/2023	04/17/2023	Jp489278 Paloski 04/17/2023
•	14) Stove Inspection	ACCEPTED	~	Stove Inspection.docx	Upload		04/17/2023	04/17/2023	Jp489278 Paloski 04/17/2023
			Sa	ive	Clear	Submit	Expand All		
		achments and entr							
*S	ibmit - Sends a no	otification to the De	part	ment of Health req	uesting their a	ction.			

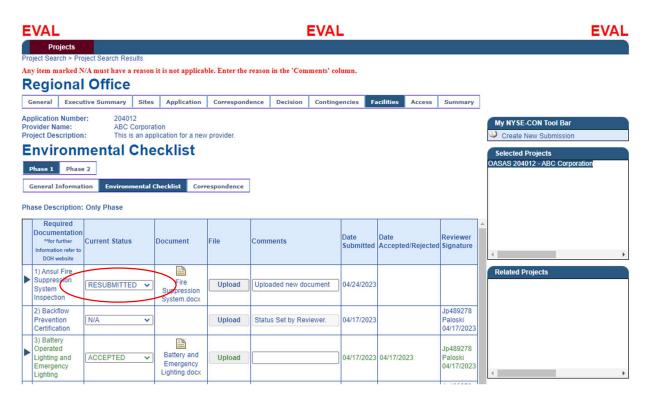
Click **Save** at the bottom of the screen.



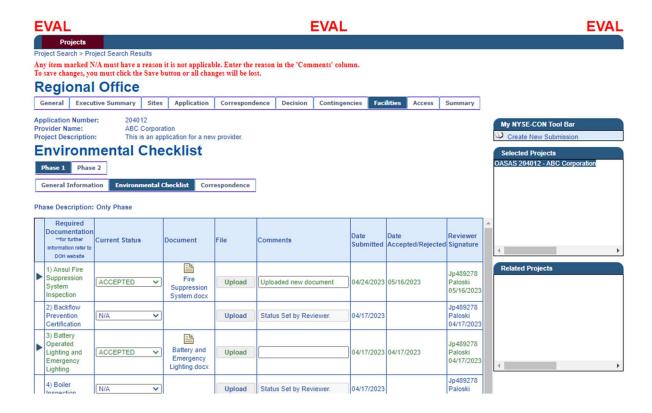
Once you are done with all the changes. Click to the **Submit** button.



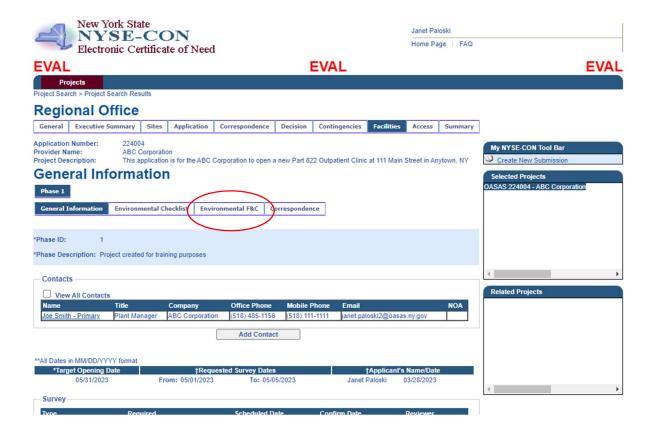
Select Confirm.



The status of the newly uploaded document will show as **RESUBMITTED**. You will be notified when the Facilities Evaluation and Inspection Unit (Upstate or Downstate) has reviewed the new submission(s).



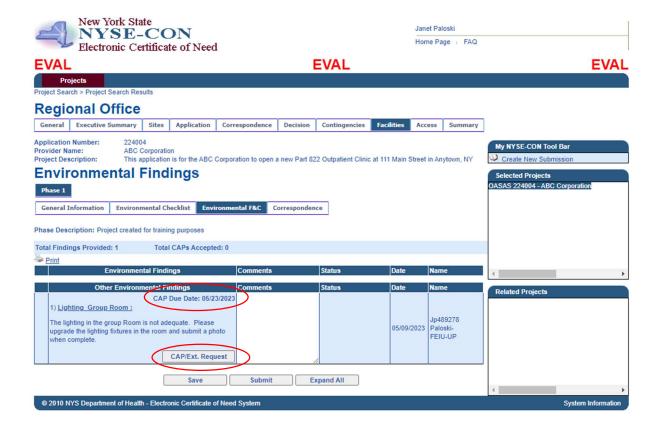
If the resubmission is accepted, the current status will show as accepted and the row will show in green. If it was rejected again, the current status would show as rejected and the row would show in red.



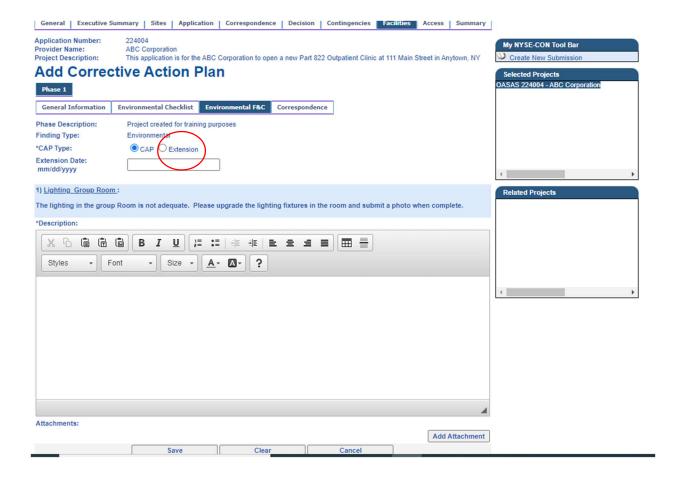
Once the date of the physical plant inspection (survey) has occurred, a new tab will display on the screen. Environmental F & C (Findings and Corrective Action Plan). If there are any items that require a Corrective Action Plan (CAP), the Facility Evaluation and Inspection Inspector will create a Findings record that will require the Applicant to enter a CAP.



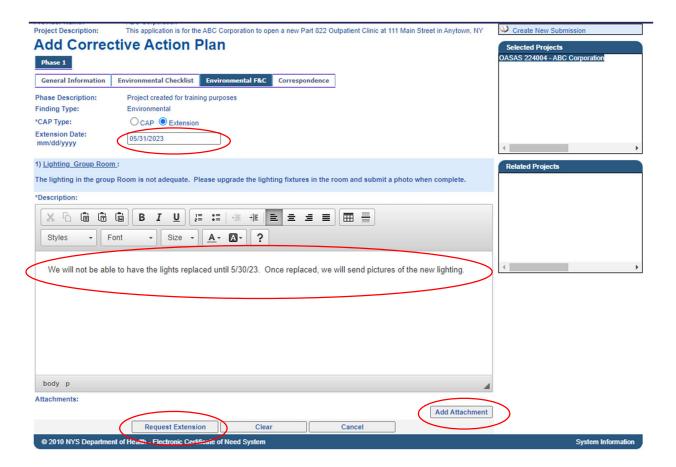
Once you have been notified that a Finding has been entered, you should return to the project, select the **Facilities** tab and click on the **Environmental F & C** tab.



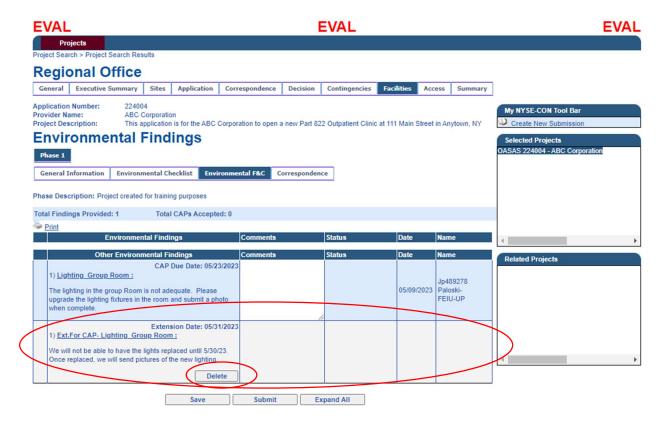
The CAP due date will show. If you want to respond to the CAP or need to request an extension, click on the CAP/Ext. Request.



The CAP Type defaults to CAP. If you are requesting an Extension, click the Extension.

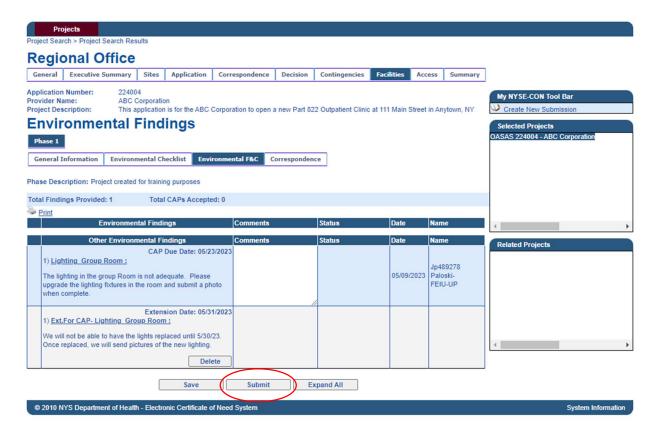


Enter the date that you want to extend the CAP. Enter a reason for requesting the Extension. If you need to add any attachments, click the **Add Attachment** button and then click **Request Extension**.



You request for an Extension shows on the screen. If you need to delete, click on the **delete** button.

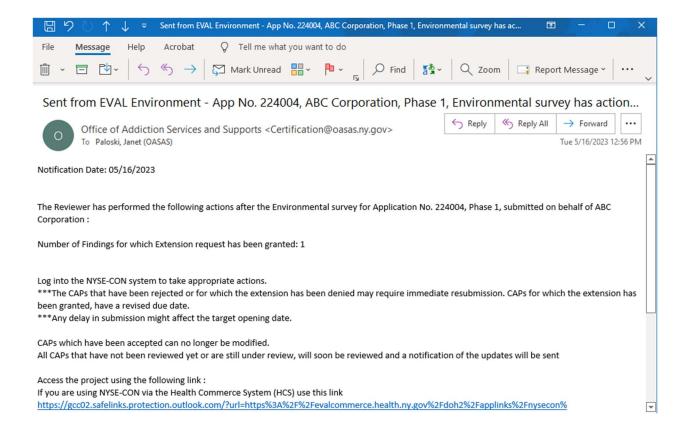
Note: CAP or Extension once submitted cannot be deleted.



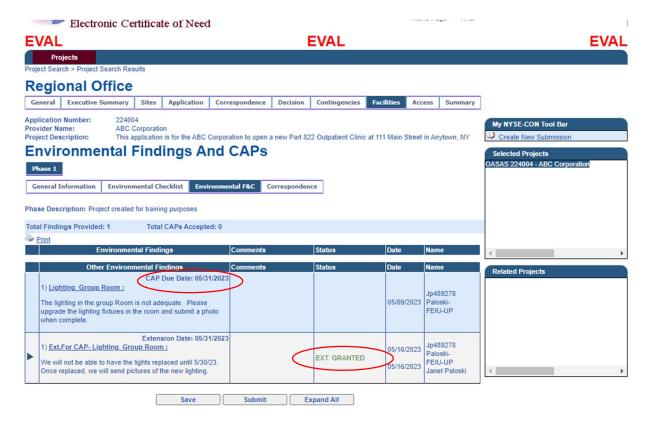
Once complete, click on the **Submit** button to notify the Facilities and Inspection Unit of the request for an Extension.



The status will show as Extension Submitted, the date submitted and who made the submission.



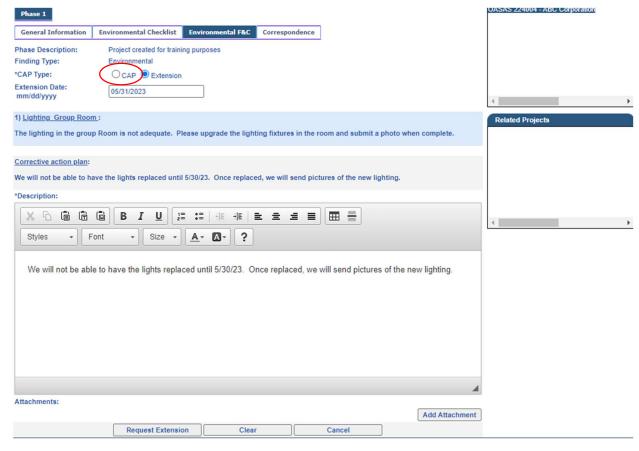
The Applicant will receive a message like the one above when the Facilities Evaluation and Inspection Unit decides on the requested extension.



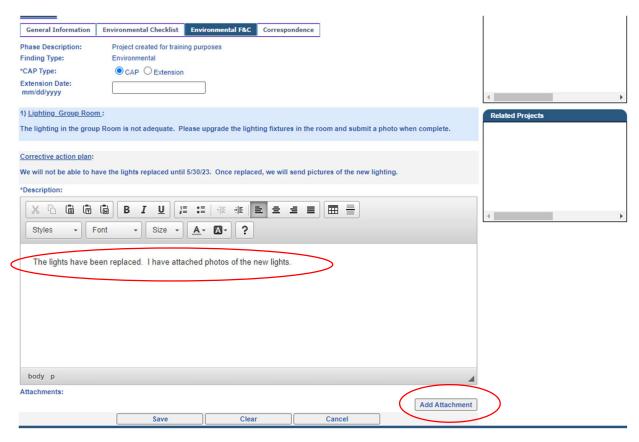
If the extension is granted the status will change to green and if it is denied the status will be in red. If granted, the CAP Due Date will be updated to the requested extension.



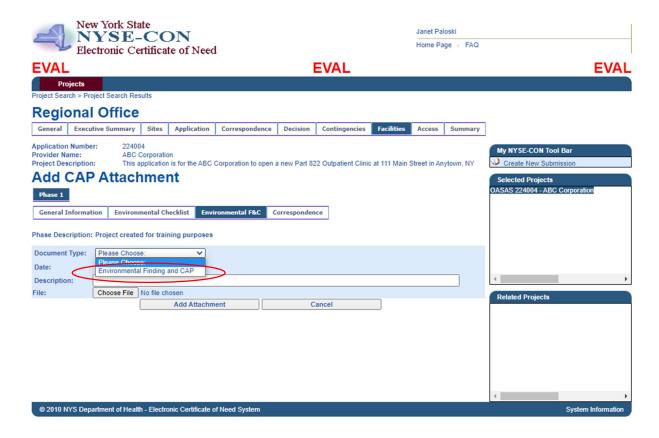
When you are ready to enter the CAP, click on the hyperlink.



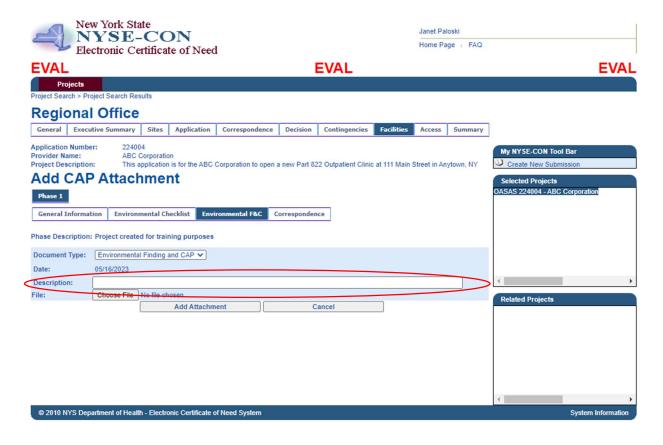
Choose CAP.



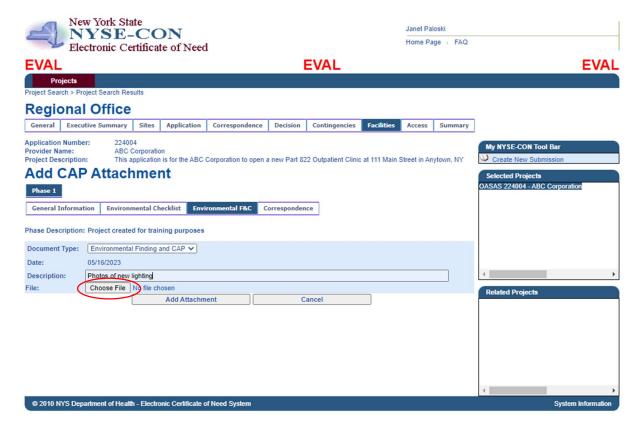
Update the description. In this example, we are attaching photos of the new lights. Click on **Add Attachment**.



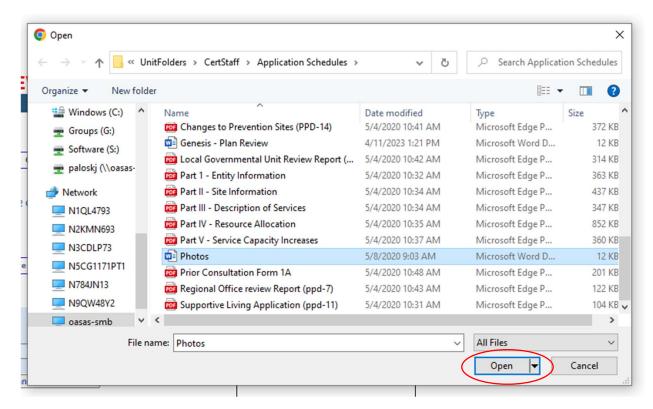
Choose the Document Type.



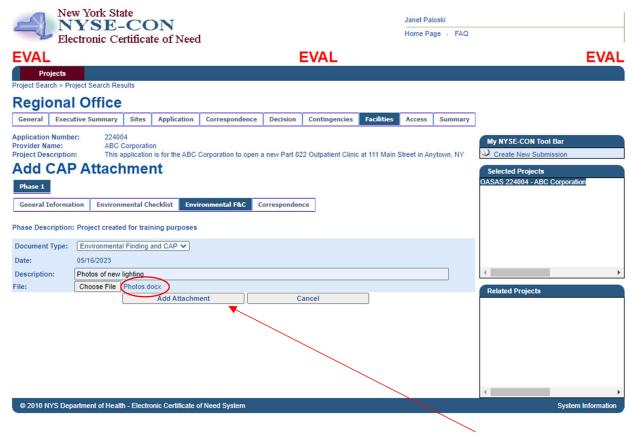
Enter a Description.



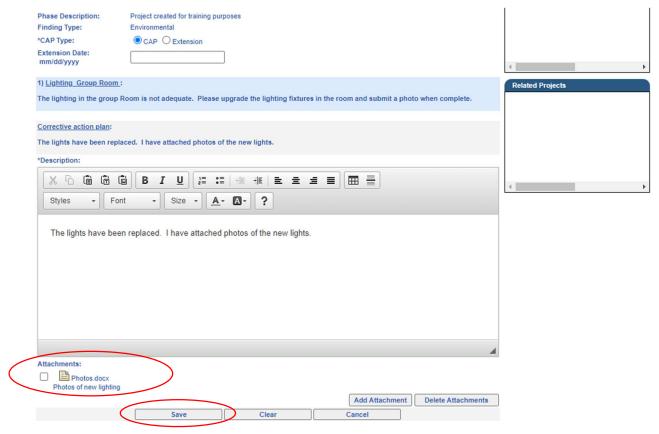
Click on the **Choose File** button to navigate to the location on your computer where you stored the photos.



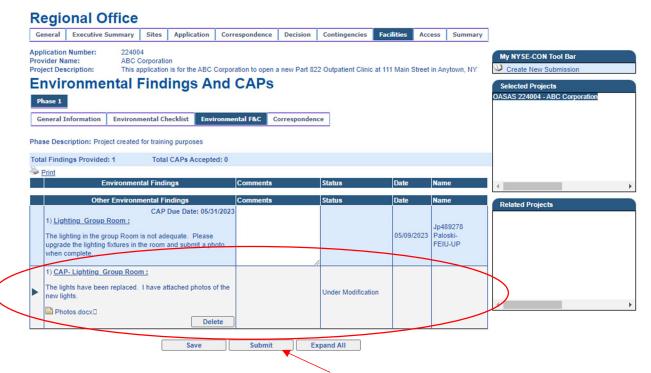
Click on the file and click the **Open** button.



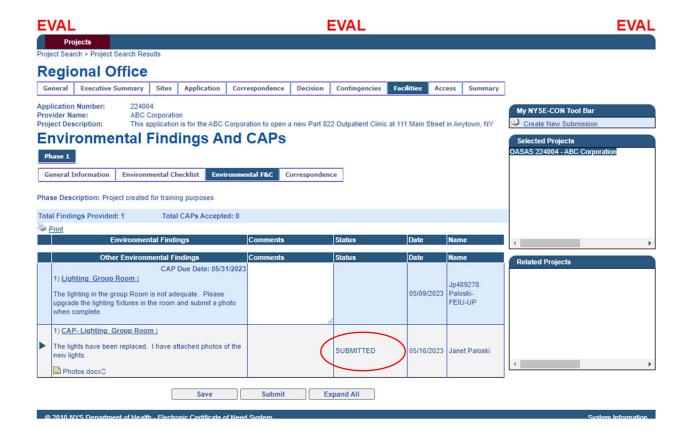
The file name will show next to the Choose File button. Choose Add Attachment.



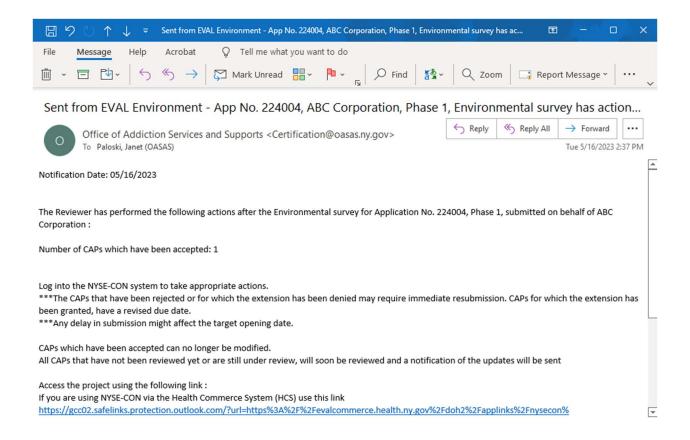
The attachment shows here. Click on the Save button.



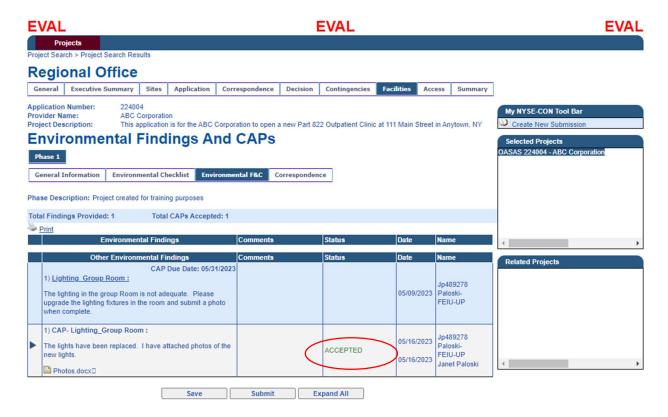
Your update CAP information shows. Click the **Submit** button to notify the Facilities Evaluation and Inspection Unit that the CAP has been submitted.



The status shows Submitted.



You will receive an email like this when the Facilities Evaluation and Inspection Unit decides on your CAP.



The updated status will appear.